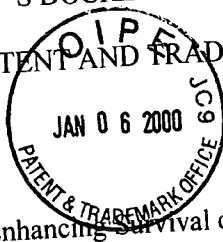


ATTORNEY'S DOCKET NO: S1237/7011

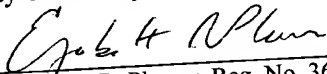
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kenneth Walsh
Serial No: 09/408,905
Filed: September 29, 1999
For: AKT Compositions for Enhancing Survival of Cells
Examiner: Not Yet Assigned
Art Unit: 1614



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS Assistant Commissioner for Patents, Washington, D.C. 20231, on the 3rd day of January, 2000.


Elizabeth R. Plumer, Reg. No. 36,637

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

This is in response to the Notice to File Missing Parts of Application mailed from the United States Patent and Trademark Office on November 1, 1999 (copy enclosed).

Attached is an original Declaration for Patent Application.

Attached is a Fee Calculation Sheet, a Multiple Dependent Claim Fee Calculation Sheet, and a copy of the Preliminary Amendment which was filed with the Application on September 29, 1999.

The attached check includes \$324.00 for 18 extra total claims, \$390.00 for 5 extra independent claims, \$690.00 for the basic filing fee, and the large entity surcharge fee of \$130.00 in response to Notice to File Missing Parts.

Also attached is an original Assignment to St. Elizabeth's Medical Center along with a Recordation Cover Sheet.

The attached check includes the \$40.00 assignment recordation fee.

Accordingly, Applicant believes that this document is responsive to the Notice to File Missing

Parts.

427225_1.DOC

Serial No. 09/408,905

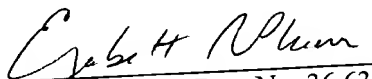
-2-

Art Unit: 1614

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 343) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By 
Elizabeth R. Plumer, Reg. No. 36,637
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
Attorneys for Applicant(s)
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: S1237/7011
DATE: January 3, 2000
X01/01/2000



SECTOR/§

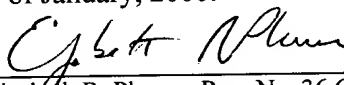
ATTORNEY'S DOCKET NO: S1237/7011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kenneth Walsh
Serial No: 09/408,905
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For: AKT Compositions for Enhancing Survival of Cells
Examiner: Not Yet Assigned
Art Unit: 1614

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Elizabeth R. Plumer, Reg. No. 36,637

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

Transmitted herewith is/are the following document(s):

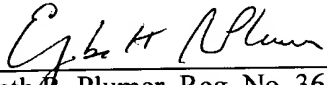
- ☒ Response to the Notice to File Missing Parts
- ☒ Declaration executed by inventor
- ☒ Copy of Notice to File Missing Parts of Application
- ☒ Fee Calculation Sheet
- ☒ Multiple Dependent Claim Fee Sheet
- ☒ Copy of Preliminary Amendment (as filed with application on 9/29/99)
- ☒ Assignment to St. Elizabeth's Medical Center
- ☒ Recordation Form Cover Sheet
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$1,571.00 is enclosed as payment for the filing fee, additional claims fee, additional independent claims fee, surcharge fee, and assignment recordation fee. If any of these fees is insufficient, please charge any deficiency or credit any overpayment to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By 
Elizabeth R. Plumer, Reg. No. 36,637
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
Attorneys for Applicant(s)
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: S1237/7011
DATE: January 3, 2000
X01/01/2000

Inventor(s): Kenneth Walsh

Serial No: 09/408,905

Filed: September 29, 1999

For: AKT Compositions for Enhancing Survival of Cells



Attorney Docket No. S1237/7011(ERP)

CHECK BOX, if applicable:

☐ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	38-20=	18x	\$ 18	= \$ 324.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	8-3=	5 x	\$ 78	= \$ 390.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 1,404.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$ 40.00
	Other Fees (if any).				\$ 130.00
	TOTAL =				\$ 1571.00

1. A check in the amount of \$1571.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ X 1.16 X 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Elizabeth R. Plumer, Reg. No. 36,637
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of Record

Docket No. S1237/7011(ERP)
Date: January 3, 2000
X01/01/2000

427237.1



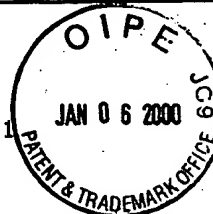
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/408,905 09/29/99 WALSH

0242/1101

ELIZABETH R PLUMER
WOLF GREENFIELD & SACKS P C
600 ATLANTIC AVENUE
BOSTON MA 02210



K S1237/7011/E

NOT ASSIGNED

1614

DATE MAILED:

11/01/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 3062.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 555 for 29 total claims over 20.
\$ 390 for 5 independent claims over 3.
\$ 560 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(b)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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01 11:05
02 00:00
03 00:00
04 00:00
33.00 CH